



UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Anthony J. Agbay

Application No.: 10/649,166 Filed Date: August 27, 2003

Confirmation No. Not Assigned Group: Not Assigned Examiner: Not Assigned

For: Locking Device for a Meter Socket

<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450	
on <u>November 13, 2003</u>	<u>Mary P. McDermott</u>
Date	Signature
<u>MARY P. McDERMOTT</u>	
Typed or printed name of person signing certificate	

TRANSMITTAL OF SMALL ENTITY STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please file the enclosed Small Entity Statement in the above-identified patent application.

An Information Disclosure Statement (IDS) is being filed concurrently herewith. Entry of the IDS is respectfully requested.

Respectfully submitted,  
BOWDITCH & DEWEY, LLP

By Thomas O. Hoover  
Thomas O. Hoover  
Date: November 13, 2003  
Registration No.: 32,470  
Direct tel.: (508) 416-2475  
Telephone: (508) 879-5700  
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Bowditch & Dewey, LLP  
161 Worcester Road  
P.O. Box 9320  
Framingham, Massachusetts 01701-9320



PATENT APPLICATION  
Docket No.: 150448.3000-100

UNITED STATES PATENT AND TRADEMARK OFFICE

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or  
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(More than 3 months after receipt of the International Search Report, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97(i):  
Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.  
(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of the cited references (AR-AV) are enclosed.
- ☐ In accordance with 37 C.F.R. § 1.98 (d), copies of cited references (\*list reference not enclosed\*) are not enclosed as these references were entered in earlier application, U.S. Application No. [ ], to which the present application claims priority under 35 U.S.C. 120. The earlier application contains copies of the cited references.
- ☒ If copies of references (AA-AK, AA2-AK2 and AA3) would benefit the Examiner of this case, the Examiner is invited to call the undersigned attorney and copies will be forwarded immediately.
- ☐ Listed references [ ] were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ The "concise explanation" requirement (non-English references) for reference(s) [ ] under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
  - ☐ the explanation provided in the Specification.
  - ☐ submission of the enclosed International Search Report.
  - ☐ the enclosed English language abstract.

☐ Applicant requests that the following pending applications be considered:

Examiner's  
Initials

\_\_\_\_ U.S. Patent Application No. [ ], Publication No. [ ], Publication Date [ ],  
by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_ U.S. Patent Application No. [ ], Publication No. [ ], Publication Date [ ],  
by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_ U.S. Patent Application No. [ ], Publication No. [ ], Publication Date [ ],  
by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_\_  
Examiner

\_\_\_\_\_  
Date

☐ A copy of each above-cited application, including the current claims, is enclosed.

☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

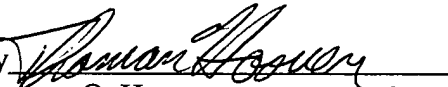
☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.

☐ Please charge Deposit Account No. 50-1935 in the amount of \$[ ]. A copy of this Statement is enclosed.

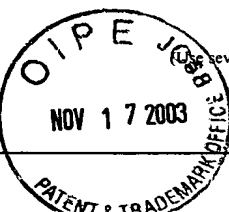
☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1935.

Respectfully submitted,

BOWDITCH & DEWEY, LLP

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PTO-1449 REPRODUCED  INFORMATION DISCLOSURE CITATION IN AN APPLICATION  11/13/2003 (Use several sheets if necessary)				ATTORNEY DOCKET NO. 150448.3000-100		APPLICATION NO. 10/649,166	
				APPLICANT Anthony J. Agbay			
				FILING DATE August 27, 2003		GROUP ART UNIT Not Assigned	
U.S. PATENT DOCUMENTS							
EXAM -NER INI- TIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
AA	Des. 344,441	02/22/94	Mahaney	D8	333		
AB	D 463,248	9/24/02	Rafferty	D8	344		
AC	1,241,459	09/25/17	Woodard				
AD	1,262,795	04/16/18	Holen				
AE	2,121,386	06/21/38	Henrickson				
AF	3,275,363	09/27/66	Bates <i>et al.</i>				
AG	3,938,839	02/17/76	Collier	292	258		
AH	3,980,332	09/14/76	King, Sr.	292	320		
AI	4,062,572	12/13/77	Davis	285	55		
AJ	4,080,811	03/28/78	Nielsen, Jr.	70	164		
AK	4,107,959	08/22/78	Skarzynski <i>et al.</i>	70	159		
AA2	4,120,182	10/17/78	Michelman <i>et al.</i>	70	63		
AB2	4,144,729	03/20/79	Nielsen, Jr.	70	63		
AC2	4,152,910	05/08/79	Swisher	70	159		
AD2	4,202,574	05/13/80	Redmayne	292	228		
AE2	4,254,647	03/10/81	Finck, Jr.	70	77		
AF2	4,414,829	11/15/83	Nielsen, Jr. <i>et al.</i>	70	160		
AG2	4,733,893	03/29/88	Davis <i>et al.</i>	292	320		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
AR	Inner-Tite Corp. product data, "Jiffy Lock", 8/02.						
AS	Inner-Tite Corp. product data, "Fort Knox Locking Device", 6/02.						
AT	Inner-Tite Corp. product data, "Deadbolt Locking Device".						
EXAMINER				DATE CONSIDERED			



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U.S. PATENT DOCUMENTS							
EXAM- INER INI- TIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AH2	5,007,258	04/16/91	Mahaney	70	159	
	AI2	5,315,849	05/31/94	Georgopoulos	70	34	
	AJ2	5,402,958	04/04/95	Mahaney	242	388.1	
	AK2	5,871,030	02/16/99	Agbay	137	364	
	AA3	6,406,074	06/18/02	Mahaney	292	327	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AU	Inner-Tite Corp. product data, "Hammerlock Locking Device", 12/02.					
	AV	Inner-Tite Corp. Electric Utility Meter Locking & Security Devices Price List, 3/1/02.					
EXAMINER				DATE CONSIDERED			